

SECTION J - LIST OF DOCUMENTS, EXHIBITS, AND ATTACHMENTS

ATTACHMENT J-1 LIST OF APPLICABLE LAWS AND REGULATIONS (LIST A) & LIST OF APPLICABLE DOE DIRECTIVES (LIST B)

LIST OF APPLICABLE LAWS AND REGULATIONS (LIST A)

This list was prepared for the convenience of the contractor. Omission of a Law or Regulation is not intended to imply that the law is not applicable to this contract.

All Federal regulations and State equivalent regulations apply to this contract. Federal Regulations include but are not limited to the following:

10 CFR 820	Procedural Rules for DOE Nuclear Activities
10 CFR 824	Procedural Rules for the Assessment Of Civil Penalties for Classified Information Security Violations
10 CFR 830	Nuclear Safety Management
10 CFR 835	Occupational Radiation Protection
10 CFR 850	Beryllium Disease Prevention Programs
10 CFR 851	Worker Safety and Health Program
10 CFR 708	DOE Contractor Employee Protection Program

LIST OF APPLICABLE DOE DIRECTIVES (LIST B)

The DOE directives listed in the table below contain requirements relevant to the scope of work in Task Orders. In most cases, the requirements applicable to the Contractor are contained in a Contractor Requirements Document (CRD) attached to the DOE directive. The Contractor is encouraged to continuously evaluate the work scope and task order requirements for opportunities to improve efficiency or creativity and propose alternative methods to those specified in the DOE directives to the DCO for review and possible modification of the contract. DOE has an extensive inventory of guides, standards, and manuals. It is intended for the contractor to make use of these resources and incorporate them in to the contractor's program, to comply with the requirements of this contract. DOE has listed for convenience several of the standards, guides, and handbooks the contractor is expected to follow during the performance of Task Orders. The contractor may identify that several more standards, guides, and handbooks are needed to comply with the requirements of Task Orders. Use of alternate methods is encouraged to improve efficiency; however, use of alternate methods shall be brought to the attention of the DCOR for review, comment, and approval prior to use.

DOE Orders

DOE O 110.3	Conference Management [11-3-99]
DOE O 130.1	Budget Formulation Process [9-29-95]
DOE O 142.1	Classified Visits Involving Foreign Nationals [1-13-04]
DOE O 142.2A	Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency [12-15-06]
DOE O 142.3 Chg 1	Unclassified Foreign Visits and Assignments [2-28-08]
DOE O 144.1	Department of Energy American Indian Tribal Government Interactions and Policy [1-16-09]
DOE O 150.1	Continuity Programs [5-8-08]
DOE O 151.1C	Comprehensive Emergency Management System [11-2-05]
DOE O 153.1	Departmental Radiological Emergency Response Assets [6-27-07]
DOE O 200.1A	Information Management Program [12-23-08]
DOE O 205.1A	Department of Energy Cyber Security Management Program [12-4-06]
DOE M 205.1-4	National Security System Manual [3-8-07]
DOE O 206.1	DOE O 206.1, Department of Energy Privacy Program [1-16-09]
DOE O 210.2	DOE Corporate Operating Experience Program [6-12-06]
DOE O 221.1A	Reporting Fraud, Waste, and Abuse to the Office of the Inspector General [4-19-08]
DOE O 221.2A	Cooperation with the Office of Inspector General [2-25-08]
DOE O 225.1A	Accident Investigations [11-26-97]
DOE O 226.1A	Implementation of DOE Oversight Policy [7-31-07]
DOE O 231.1A	Environment, Safety, and Health Reporting [6-3-04, chg 1]

DOE O 241.1A	Scientific and Technical Information Management [10-14-03, chg 1]
DOE O 243.1	Records Management Program [2-3-06]
DOE O 243.2	Vital Records [2-2-06]
DOE O 251.1B	Directives System [8-16-06]
DOE O 251.1C	Departmental Directives Program [1-15-09]
DOE O 252.1	Technical Standards Program [11-19-99]
DOE O 341.1A	Federal Employee Health Services [10-18-07]
DOE O 350.1	Contractor Human Resource Management Programs (<i>by Chapter</i>) [5-8-98, chg 1]
DOE O 350.2A	Use of Management & Operating or Other Facility Management Contractor Employees for Services to DOE in Washington, DC Area
DOE O 410.1	Central Technical Authority Responsibilities Regarding Nuclear Safety Requirements[8-28-07]
DOE O 413.1A	Management Control Program [4-18-02]
DOE O 413.3A	Program and Project Management for the Acquisition of Capital Assets (\$20M threshold for Total Project Cost) [11-17-08, chg 1]
DOE O 414.1C	Quality Assurance [6-17-05]
DOE O 420.1B	Facility Safety [12-22-05]
DOE O 420.2B	Safety of Accelerator Facilities [7-23-04]
DOE O 430.1B	Real Property Asset Management [2-9-08, chg 1]
DOE O 430.2B	Departmental Energy and Utilities Management [2-27-08]
DOE O 433.1A	Maintenance Management Program for DOE Nuclear Facilities [2-13-07]
DOE O 435.1	Radioactive Waste Management [8-28-01, chg 1]
DOE O 440.1A	Worker Protection Management for DOE Federal and Contractor Employees
DOE O 440.1B	Worker Protection Program for DOE (Including NNSA) Federal Employees [5-17-07]
DOE O 440.2B	Aviation Management and Safety [11-19-06, chg 1]
DOE O 442.1A	Department of Energy Employee Concerns Program [6-6-01]
DOE O 450.1A	Environmental Protection Program [6-4-08]
DOE O 460.1B	Packaging and Transportation Safety [4-4-03]
DOE O 460.2-1A	Radioactive Material Transportation Practices [6-4-08]

DOE O 460.2A	Departmental Materials Transportation and Packaging Management [12-22-04]
DOE O 461.1A	Packaging and Transfer or Transportation of Materials of National Security Interest [4-26-04]
DOE O 462.1	Import and Export of Category 1 and 2 Radioactive Sources and Aggregated Quantities [11-10-08]
DOE O 470.2B	Independent Oversight and Performance Assurance Program [10-31-02]
DOE O 470.3A	Design Basis Threat Policy (U) [11-29-05]
DOE O 470.4A	Safeguards and Security Program [5/25/07]
DOE O 471.1A	Identification and Protection of Unclassified Controlled Nuclear Information (UCNI) [6-30-2000]
DOE O 471.2-2	Classified Information Systems Security Manual
DOE O 471.3	Identifying & Protecting Official Use Only [4-9-03]
DOE O 472.1B	Personnel Security Activities
DOE O 475.1	Counterintelligence Program [12-10-04]
DOE O 475.2	Identifying Classified Information [8-28-07]
DOE O 481.1C	Work for Others (Non-Department of Energy Funded Work) [1-24-05]
DOE O 482.1	DOE Facilities Technology Partnering Programs [1-12-01]
DOE O 483.1	DOE Cooperative Research and Development Agreements [1-12-01]
DOE O 484.1	Reimbursable Work for the Department of Homeland Security [8-17-06]
DOE O 522.1	Pricing of Departmental Materials and Services [11-3-04]
DOE O 534.1B	Accounting [1-6-03]
DOE O 551.C	Official Foreign Travel [6-24-08]
DOE O 580.1	Department of Energy Personal Property Management Program [5-8-08, chg 1]
DOE O 1230.2	American Indian Tribal Government Policy
DOE O 1340.1B	Management of Public Communications Publications and Scientific, Technical, and Engineering Publications [1-7-93]
DOE O 1350.1	Audiovisual and Exhibits Management
DOE O 1450.4	Consensual Listening-in to or Recording Telephone/Radio Conversations [11-12-92]
DOE O 5400.5	Radiation Protection of the Public and the Environment [1-7-93, chg 2]

DOE O 5480.19	Conduct of Operations Requirements for DOE Facilities [10-23-01, chg 2]
DOE O 5480.20A	Personnel Selection, Qualification, and Training Requirements for DOE Nuclear Facilities [7-12-01, chg 1]
DOE O 5660.1B	Management of Nuclear Materials [5-26-94]
DOE O 5670.1A	Management and Control of Foreign Intelligence [1-15-92]

DOE Policy

DOE P 441.1	DOE Radiological Health & Safety Policy [4-26-96]
DOE P 450.4	Safety Management System Policy
DOE N 450.7	The Safe Handling, Transfer, and Receipt of Biological Etiologic Agents at Department of Energy Facilities
DOE P 450.7	Environment, Safety, and Health (ESH) Goals [8-2-04]

DOE Standards

DOE-STD-1027-92 Chg 1	Hazard Categorization and Accident Analysis Techniques
DOE-STD-1030-96	Guide to Good Practices for Lockouts and Tagouts
DOE-STD-1088-95	Fire Protection for Relocatable Structures
DOE-STD-1090-04	Hoisting and Rigging
DOE-STD-1095-95	Department of Energy Laboratory Accreditation Program for Personnel Dosimetry Systems
DOE-STD-1098-99 Change 1	Radiological Control
DOE-STD-1104-96	Review and Approval of Nuclear Facility Safety Basis Documents (Documented Safety Analysis and Technical Safety Requirements)
DOE-STD-1107-97	Knowledge, Skills, and Abilities for key Radiation Protection Positions at DOE Facilities
DOE-STD-1111-98	Laboratory Accreditation Program Administration
DOE-STD-1112-98	Laboratory Accreditation Program for Radiobioassay
DOE-STD-1120-2005	Integration of Environment, Safety, and Health into Facility Disposition Activities
DOE-STD-1121-98	Internal Dosimetry
DOE-STD-1190-2007	Illness and Injury Surveillance Program Guidelines
DOE-STD-3006-2000	Planning and Conduct of Operational Readiness Reviews

DOE-STD-3009-94 Change Notice 3	Preparation Guide for US Department of Energy Nonreactor Nuclear Facility Safety Analysis
DOE-STD-3020-2005	Specification for HEPA Filters used by DOE Contractors
DOE-STD-3022-98	DOE HEPA Filter Test Program
DOE-STD-3025-2007	Qualities Assurance Inspection and Testing of HEPA Filters
DOE-STD-5506-2007	Preparation of Safety Basis Documents for Transuranic (TRU) Waste Facilities

DOE Manuals

DOE M 140.1-1B	Interface with the Defense Nuclear Facilities Safety Board [3-30-01]
DOE M 142.2-1	DOE M 142.2-1, Manual for Implementation of the Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency [9-4-08]
DOE M 200.1-1	Telecommunications Security Manual
DOE M 200.1-1, Chapter 9	Telecommunications Security Manual (Chapter 9) [2-15-2000]
DOE M 205.1-2	Clearing, Sanitization, and Destruction of Information System Storage Media, Memory Devices, and Related Hardware Manual
DOE M 205.1-4	National Security System Manual
DOE M 205.1-6	Media Sanitization Manual [12-23-08]
DOE M 205.1-7	DOE M 205.1-7, Security Controls for Unclassified Information Systems Manual [1-5-09]
DOE M 205.1-8	DOE M 205.1-8, Cyber Security Incident Management Manual [1-8-09]
DOE N 206.4	Personal Identity Verification Program [11-24-08, new expiration date 6-29-09]
DOE M 231.1-1A	Environment, Safety, and Health Reporting Manual [9-9-04, chg 1]
DOE M 231.1-2	Occurrence Reporting and Processing of Operations Information [8-19-03]
DOE M 251.1-1B	Departmental Directives Program Manual [8-16-06]
DOE M 413.3-1	Project Management and Project Management Manual (\$5M threshold) [3-28-03]
DOE M 435.1-1, Chg 1	Radioactive Waste Management Manual [6-19-01, chg 1]
DOE M 440.1-1A	DOE Explosives Safety Manual [1-9-06]
DOE M 442.1-1	Differing Professional Opinions Manual for Technical Issues Involving Environmental, Safety and Health [11-16-06]

DOE M 450.4-1	Integrated Safety Management System Manual [11-1-06]
DOE M 460.2-1	Radioactive Material Transportation Practices
DOE M 461.1-1	Packaging & Transfer of Materials of National Security Interest [7-26-05, chg 1]
DOE M 470.4-1	Safeguards and Security Program Planning and Management [3-7-06, chg 1]
DOE M 470.4-2	Physical Protection [3-7-06, chg 1]
DOE M 470.4-3A	Protective Force [11-5-08]
DOE M 470.4-4A	Information Security Manual [1-16-09]
DOE M 470.4-5	Personnel Security [8-26-05]
DOE M 471.3-1	Identifying & Protecting Official Use Only Information [4-9-03]
DOE M 475.1-1B	Manual for Identifying Classified Information (was 1-1A) [8-28-07]
DOE M 483.1-1	DOE Cooperative Research and Development Agreements Manual

DOE Handbooks

DOE/EH-0196	Fire Prevention Measures for Cutting, Welding, and Related Activities
DOE/EH -0535	Handbook for Occupational Safety and Health During Hazardous Waste Activities
DOE-HDBK-1062-96	DOE Fire Protection Handbook
DOE-HDBK-1122-99	Radiological Control Technician Training
DOE-HDBK-1130-98	Radiological Worker Training
DOE-HDBK-1131-98	General Employee Radiological Training
DOE-HDBK-1141-2001	Radiological Assessor Training
DOE-HDBK-1169-2003	Nuclear Air Cleaning Handbook

DOE Guides

DOE G 151.1-1	Emergency Management Series
DOE G 414.1-1A	Management Assessment and Independent Assessment Guide
DOE G 414.1-2A	Quality Assurance Management System Guide for use with 10 CFR 830.120 and DOE O 414.1
DOE G 414.1-5	Corrective Action Program Guide

DOE G 421.1-2	Implementation Guide for Use in Developing Documented Safety Analyses to Meet Subpart of 10 CFR 830
DOE G 423.1-1	Implementation Guide for Use in Developing Technical Safety Requirements
DOE G 424.1-1A	Implementation Guide for Use in Addressing Unreviewed Safety Question Requirements
DOE G 430.1-2	Implementation Guide For Surveillance And Maintenance During Facility Transition And Disposition
DOE G 430.1-3	Deactivation Implementation Guide
DOE G 430.1-4	Decommissioning Implementation Guide
DOE G 430.1-5	Transition Implementation Guide
DOE G 435.1-1	Crosswalk Tables DOE O 5820.2A vs. DOE O 435.1/M 435.1-1 [7-9-99]
DOE G 435.1-1 Chapter 1	General Requirements and Responsibilities
DOE G 435.1-1 Chapter 2	High-Level Waste Requirements
DOE G 435.1-1 Chapter 3	Transuranic Waste Requirements
DOE G 435.1-1 Chapter 4	Low-Level Waste Requirements
DOE G 440.1-2	Construction Safety Management Guide for use with DOE O 440.1
DOE G 440.1-3	Implementation Guide for use with DOE O 440.1, Occupational Exposure Assessment
DOE G 440.1-4	Contractor Occupational Medical Program Guide for use with DOE O 440.1
DOE G 440.1-5	Implementation Guide for Fire Safety Program
DOE G 440.1-7A	Implementation Guide for Use with 10 CFR Part 850, Chronic Beryllium Disease Prevention Program
DOE G 440.1-8	Implementation Guide for use with 10 CFR 851, Worker Safety and Health Program
DOE G 441.1-1B	Management and Administration of Radiation Protection Programs Guide for use with Title 10, Code of Federal Regulations, Part 835, Occupational Radiation Protection
DOE G 442.1-1	Department of Energy Employee Concerns Program Guide
DOE G 450.1-1A	Implementation Guide for Use with DOE O 450.1, Environmental Protection Program
DOE G 450.1-5	Implementation Guide for Integrating Pollution Prevention into Environmental Management Systems
DOE G 450.3-3	Tailoring for Integrated Safety Management Applications

DOE G 450.4-1B	Integrated Safety Management System Guide for use with DOE P 450.4, Safety Management System, and Dear Safety Management System Contract Clauses (Volumes 1 and 2)
DOE G 460.1-1	Implementation Guide for use with DOE O 460.1A, Packaging and Transportation Safety
DOE G 460.2-1	Implementation Guide for use with DOE O 460.2, Departmental Materials Transportation and Packaging Management
DOE G 573.1-1	Mail Services User's Guide [10-3-05]

DOE Notices

DOE N 144.1	American Indian Tribal Government Policy [10-20-06]
DOE N 153.2	Connectivity to National Atmospheric Release Advisory Center
DOE N 206.3	Personal Identification Verification [10-9-07]
DOE N 206.5	Response and Notification Procedures for Data Breaches Involving
DOE N 234.1	Reporting of Radioactive Sealed Sources [2-27-08]
DOE N 456.1	The Safe Handling of Unbound Engineered Nanoparticles [1-5-09]
DOE N 470.4	Reciprocal Recognition of Existing Personnel Security Clearances/Access Authorizations [1-9-09]

DOE Miscellaneous Applicable Regulations

36 CFR, Chapter 12, Subchapter B, "Records Management,"

44 U.S.C., Chapters 21, 29, 31, 33, and 35

E-Government Act of 2002 (P.L. 107-347, 44 U.S.C. Ch 36)

5 U.S.C. 552 et. Seq. Freedom of Information Act and Privacy Act of 1974

Multi-Agency Radiation Survey and Site Investigation Manual
<http://www.epa.gov/rpdweb00/marssim/>

DOE Environmental Cost Element Structure (ECES) http://www.em.doe.gov/stakepages/aceteam_eces.aspx

ANSI/EIA-748-B Earned Value Management Systems (Published June 2007)

ASME NQA-1 Nuclear Quality Assurance 2004

EM-QA-001 EM Quality Assurance Program